

This Page Is Inserted by IFW Operations  
and is not a part of the Official Record

## **BEST AVAILABLE IMAGES**

Defective images within this document are accurate representations of the original documents submitted by the applicant.

Defects in the images may include (but are not limited to):

- BLACK BORDERS
- TEXT CUT OFF AT TOP, BOTTOM OR SIDES
- FADED TEXT
- ILLEGIBLE TEXT
- SKEWED/SLANTED IMAGES
- COLORED PHOTOS
- BLACK OR VERY BLACK AND WHITE DARK PHOTOS
- GRAY SCALE DOCUMENTS

**IMAGES ARE BEST AVAILABLE COPY.**

**As rescanning documents *will not* correct images,  
please do not report the images to the  
Image Problem Mailbox.**

PCT

WORLD INTELLECTUAL PROPERTY ORGANIZATION  
International Bureau



INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

(51) International Patent Classification 6:  A61N 1/362		A1	(11) International Publication Number: <b>WO 96/32157</b>
			(43) International Publication Date: 17 October 1996 (17.10.96)
(21) International Application Number: PCT/US96/05060		(81) Designated States: AL, AM, AT, AT (Utility model), AU, AZ, BB, BG, BR, BY, CA, CH, CN, CZ, CZ (Utility model), DE, DE (Utility model), DK, DK (Utility model), EE, EE (Utility model), ES, FI, FI (Utility model), GB, GE, HU, IS, JP, KE, KG, KP, KR, KZ, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SK (Utility model), TJ, TM, TR, TT, UA, UG, US, UZ, VN, ARPO patent (KE, LS, MW, SD, SZ, UG), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).	
(22) International Filing Date: 12 April 1996 (12.04.96)			
(30) Priority Data: 08/422,338 14 April 1995 (14.04.95) US			
(60) Parent Application or Grant (63) Related by Continuation US 08/422,338 (CON) Filed on 14 April 1995 (14.04.95)			
(71) Applicant (for all designated States except US): THE UNIVERSITY OF NORTH CAROLINA AT CHAPEL HILL [US/US]; 300 Bynum Hall, Campus Box 4100, Chapel Hill, NC 27599 (US).		Published With international search report.	
(72) Inventor; and (75) Inventor/Applicant (for US only): MANNING, James, E. [US/US]; 105 Silver Cedar Lane, Chapel Hill, NC 27514 (US).			
(74) Agents: SIBLEY, Kenneth, D. et al.; Bell, Seltzer, Park & Gibson, P.O. Drawer 34009, Charlotte, NC 28234 (US).			
(54) Title: METHOD OF TREATING CARDIAC ARREST AND APPARATUS FOR SAME			
<img alt="Schematic diagram of a medical apparatus (40) for treating cardiac arrest. The diagram shows a storage unit (41) connected to a delivery apparatus (52) via a tube (43). The delivery apparatus (52) is connected to a pulsing device (65) and a pulsing device (66). The apparatus (40) is connected to a subject's circulatory system. Arrows indicate the flow of solution from the storage unit (41) through the delivery apparatus (52) to the pulsing device (65) and then to the aorta (11). Labels include: TO FEMORAL ARTERY, FROM FEMORAL VEIN, 40, 41, 42, 43, 44, 45, 46, 47, 48, 49, 50, 51, 52, 53, 54, 55, 56, 57, 58, 59, 60, 61, 62, 63, 64, 65, 66, 67, 68, 69, 70, 71, 72, 73, 74, 75, 76, 77, 78, 79, 80, 81, 82, 83, 84, 85, 86, 87, 88, 89, 90, 91, 92, 93, 94, 95, 96, 97, 98, 99, 100, 101, 102, 103, 104, 105, 106, 107, 108, 109, 110, 111, 112, 113, 114, 115, 116, 117, 118, 119, 120, 121, 122, 123, 124, 125, 126, 127, 128, 129, 130, 131, 132, 133, 134, 135, 136, 137, 138, 139, 140, 141, 142, 143, 144, 145, 146, 147, 148, 149, 150, 151, 152, 153, 154, 155, 156, 157, 158, 159, 160, 161, 162, 163, 164, 165, 166, 167, 168, 169, 170, 171, 172, 173, 174, 175, 176, 177, 178, 179, 180, 181, 182, 183, 184, 185, 186, 187, 188, 189, 190, 191, 192, 193, 194, 195, 196, 197, 198, 199, 200, 201, 202, 203, 204, 205, 206, 207, 208, 209, 210, 211, 212, 213, 214, 215, 216, 217, 218, 219, 220, 221, 222, 223, 224, 225, 226, 227, 228, 229, 230, 231, 232, 233, 234, 235, 236, 237, 238, 239, 240, 241, 242, 243, 244, 245, 246, 247, 248, 249, 250, 251, 252, 253, 254, 255, 256, 257, 258, 259, 260, 261, 262, 263, 264, 265, 266, 267, 268, 269, 270, 271, 272, 273, 274, 275, 276, 277, 278, 279, 280, 281, 282, 283, 284, 285, 286, 287, 288, 289, 290, 291, 292, 293, 294, 295, 296, 297, 298, 299, 300, 301, 302, 303, 304, 305, 306, 307, 308, 309, 310, 311, 312, 313, 314, 315, 316, 317, 318, 319, 320, 321, 322, 323, 324, 325, 326, 327, 328, 329, 330, 331, 332, 333, 334, 335, 336, 337, 338, 339, 340, 341, 342, 343, 344, 345, 346, 347, 348, 349, 350, 351, 352, 353, 354, 355, 356, 357, 358, 359, 360, 361, 362, 363, 364, 365, 366, 367, 368, 369, 370, 371, 372, 373, 374, 375, 376, 377, 378, 379, 380, 381, 382, 383, 384, 385, 386, 387, 388, 389, 390, 391, 392, 393, 394, 395, 396, 397, 398, 399, 400, 401, 402, 403, 404, 405, 406, 407, 408, 409, 410, 411, 412, 413, 414, 415, 416, 417, 418, 419, 420, 421, 422, 423, 424, 425, 426, 427, 428, 429, 430, 431, 432, 433, 434, 435, 436, 437, 438, 439, 440, 441, 442, 443, 444, 445, 446, 447, 448, 449, 450, 451, 452, 453, 454, 455, 456, 457, 458, 459, 460, 461, 462, 463, 464, 465, 466, 467, 468, 469, 470, 471, 472, 473, 474, 475, 476, 477, 478, 479, 480, 481, 482, 483, 484, 485, 486, 487, 488, 489, 490, 491, 492, 493, 494, 495, 496, 497, 498, 499, 500, 501, 502, 503, 504, 505, 506, 507, 508, 509, 510, 511, 512, 513, 514, 515, 516, 517, 518, 519, 520, 521, 522, 523, 524, 525, 526, 527, 528, 529, 530, 531, 532, 533, 534, 535, 536, 537, 538, 539, 540, 541, 542, 543, 544, 545, 546, 547, 548, 549, 550, 551, 552, 553, 554, 555, 556, 557, 558, 559, 560, 561, 562, 563, 564, 565, 566, 567, 568, 569, 570, 571, 572, 573, 574, 575, 576, 577, 578, 579, 580, 581, 582, 583, 584, 585, 586, 587, 588, 589, 580, 581, 582, 583, 584, 585, 586, 587, 588, 589, 590, 591, 592, 593, 594, 595, 596, 597, 598, 599, 590, 591, 592, 593, 594, 595, 596, 597, 598, 599, 600, 601, 602, 603, 604, 605, 606, 607, 608, 609, 610, 611, 612, 613, 614, 615, 616, 617, 618, 619, 620, 621, 622, 623, 624, 625, 626, 627, 628, 629, 630, 631, 632, 633, 634, 635, 636, 637, 638, 639, 640, 641, 642, 643, 644, 645, 646, 647, 648, 649, 650, 651, 652, 653, 654, 655, 656, 657, 658, 659, 660, 661, 662, 663, 664, 665, 666, 667, 668, 669, 660, 661, 662, 663, 664, 665, 666, 667, 668, 669, 670, 671, 672, 673, 674, 675, 676, 677, 678, 679, 680, 681, 682, 683, 684, 685, 686, 687, 688, 689, 690, 691, 692, 693, 694, 695, 696, 697, 698, 699, 690, 691, 692, 693, 694, 695, 696, 697, 698, 699, 700, 701, 702, 703, 704, 705, 706, 707, 708, 709, 710, 711, 712, 713, 714, 715, 716, 717, 718, 719, 720, 721, 722, 723, 724, 725, 726, 727, 728, 729, 730, 731, 732, 733, 734, 735, 736, 737, 738, 739, 740, 741, 742, 743, 744, 745, 746, 747, 748, 749, 750, 751, 752, 753, 754, 755, 756, 757, 758, 759, 750, 751, 752, 753, 754, 755, 756, 757, 758, 759, 760, 761, 762, 763, 764, 765, 766, 767, 768, 769, 760, 761, 762, 763, 764, 765, 766, 767, 768, 769, 770, 771, 772, 773, 774, 775, 776, 777, 778, 779, 770, 771, 772, 773, 774, 775, 776, 777, 778, 779, 780, 781, 782, 783, 784, 785, 786, 787, 788, 789, 780, 781, 782, 783, 784, 785, 786, 787, 788, 789, 790, 791, 792, 793, 794, 795, 796, 797, 798, 799, 790, 791, 792, 793, 794, 795, 796, 797, 798, 799, 800, 801, 802, 803, 804, 805, 806, 807, 808, 809, 800, 801, 802, 803, 804, 805, 806, 807, 808, 809, 810, 811, 812, 813, 814, 815, 816, 817, 818, 819, 810, 811, 812, 813, 814, 815, 816, 817, 818, 819, 820, 821, 822, 823, 824, 825, 826, 827, 828, 829, 820, 821, 822, 823, 824, 825, 826, 827, 828, 829, 830, 831, 832, 833, 834, 835, 836, 837, 838, 839, 830, 831, 832, 833, 834, 835, 836, 837, 838, 839, 840, 841, 842, 843, 844, 845, 846, 847, 848, 849, 840, 841, 842, 843, 844, 845, 846, 847, 848, 849, 850, 851, 852, 853, 854, 855, 856, 857, 858, 859, 850, 851, 852, 853, 854, 855, 856, 857, 858, 859, 860, 861, 862, 863, 864, 865, 866, 867, 868, 869, 860, 861, 862, 863, 864, 865, 866, 867, 868, 869, 870, 871, 872, 873, 874, 875, 876, 877, 878, 879, 870, 871, 872, 873, 874, 875, 876, 877, 878, 879, 880, 881, 882, 883, 884, 885, 886, 887, 888, 889, 880, 881, 882, 883, 884, 885, 886, 887, 888, 889, 890, 891, 892, 893, 894, 895, 896, 897, 898, 899, 890, 891, 892, 893, 894, 895, 896, 897, 898, 899, 900, 901, 902, 903, 904, 905, 906, 907, 908, 909, 900, 901, 902, 903, 904, 905, 906, 907, 908, 909, 910, 911, 912, 913, 914, 915, 916, 917, 918, 919, 910, 911, 912, 913, 914, 915, 916, 917, 918, 919, 920, 921, 922, 923, 924, 925, 926, 927, 928, 929, 920, 921, 922, 923, 924, 925, 926, 927, 928, 929, 930, 931, 932, 933, 934, 935, 936, 937, 938, 939, 930, 931, 932, 933, 934, 935, 936, 937, 938, 939, 940, 941, 942, 943, 944, 945, 946, 947, 948, 949, 940, 941, 942, 943, 944, 945, 946, 947, 948, 949, 950, 951, 952, 953, 954, 955, 956, 957, 958, 959, 950, 951, 952, 953, 954, 955, 956, 957, 958, 959, 960, 961, 962, 963, 964, 965, 966, 967, 968, 969, 960, 961, 962, 963, 964, 965, 966, 967, 968, 969, 970, 971, 972, 973, 974, 975, 976, 977, 978, 979, 970, 971, 972, 973, 974, 975, 976, 977, 978, 979, 980, 981, 982, 983, 984, 985, 986, 987, 988, 989, 980, 981, 982, 983, 984, 985, 986, 987, 988, 989, 990, 991, 992, 993, 994, 995, 996, 997, 998, 999, 990, 991, 992, 993, 994, 995, 996, 997, 998, 999, 1000, 1001, 1002, 1003, 1004, 1005, 1006, 1007, 1008, 1009, 1000, 1001, 1002, 1003, 1004, 1005, 1006, 1007, 1008, 1009, 1010, 1011, 1012, 1013, 1014, 1015, 1016, 1017, 1018, 1019, 1010, 1011, 1012, 1013, 1014, 1015, 1016, 1017, 1018, 1019, 1020, 1021, 1022, 1023, 1024, 1025, 1026, 1027, 1028, 1029, 1020, 1021, 1022, 1023, 1024, 1025, 1026, 1027, 1028, 1029, 1030, 1031, 1032, 1033, 1034, 1035, 1036, 1037, 1038, 1039, 1030, 1031, 1032, 1033, 1034, 1035, 1036, 1037, 1038, 1039, 1040, 1041, 1042, 1043, 1044, 1045, 1046, 1047, 1048, 1049, 1040, 1041, 1042, 1043, 1044, 1045, 1046, 1047, 1048, 1049, 1050, 1051, 1052, 1053, 1054, 1055, 1056, 1057, 1058, 1059, 1050, 1051, 1052, 1053, 1054, 1055, 1056, 1057, 1058, 1059, 1060, 1061, 1062, 1063, 1064, 1065, 1066, 1067, 1068, 1069, 1060, 1061, 1062, 1063, 1064, 1065, 1066, 1067, 1068, 1069, 1070, 1071, 1072, 1073, 1074, 1075, 1076, 1077, 1078, 1079, 1070, 1071, 1072, 1073, 1074, 1075, 1076, 1077, 1078, 1079, 1080, 1081, 1082, 1083, 1084, 1085, 1086, 1087, 1088, 1089, 1080, 1081, 1082, 1083, 1084, 1085, 1086, 1087, 1088, 1089, 1090, 1091, 1092, 1093, 1094, 1095, 1096, 1097, 1098, 1099, 1090, 1091, 1092, 1093, 1094, 1095, 1096, 1097, 1098, 1099, 1100, 1101, 1102, 1103, 1104, 1105, 1106, 1107, 1108, 1109, 1100, 1101, 1102, 1103, 1104, 1105, 1106, 1107, 1108, 1109, 1110, 1111, 1112, 1113, 1114, 1115, 1116, 1117, 1118, 1119, 1110, 1111, 1112, 1113, 1114, 1115, 1116, 1117, 1118, 1119, 1120, 1121, 1122, 1123, 1124, 1125, 1126, 1127, 1128, 1129, 1120, 1121, 1122, 1123, 1124, 1125, 1126, 1127, 1128, 1129, 1130, 1131, 1132, 1133, 1134, 1135, 1136, 1137, 1138, 1139, 1130, 1131, 1132, 1133, 1134, 1135, 1136, 1137, 1138, 1139, 1140, 1141, 1142, 1143, 1144, 1145, 1146, 1147, 1148, 1149, 1140, 1141, 1142, 1143, 1144, 1145, 1146, 1147, 1148, 1149, 1150, 1151, 1152, 1153, 1154, 1155, 1156, 1157, 1158, 1159, 1150, 1151, 1152, 1153, 1154, 1155, 1156, 1157, 1158, 1159, 1160, 1161, 1162, 1163, 1164, 1165, 1166, 1167, 1168, 1169, 1160, 1161, 1162, 1163, 1164, 1165, 1166, 1167, 1168, 1169, 1170, 1171, 1172, 1173, 1174, 1175, 1176, 1177, 1178, 1179, 1170, 1171, 1172, 1173, 1174, 1175, 1176, 1177, 1178, 1179, 1180, 1181, 1182, 1183, 1184, 1185, 1186, 1187, 1188, 1189, 1180, 1181, 1182, 1183, 1184, 1185, 1186, 1187, 1188, 1189, 1190, 1191, 1192, 1193, 1194, 1195, 1196, 1197, 1198, 1199, 1190, 1191, 1192, 1193, 1194, 1195, 1196, 1197, 1198, 1199, 1200, 1201, 1202, 1203, 1204, 1205, 1206, 1207, 1208, 1209, 1200, 1201, 1202, 1203, 1204, 1205, 1206, 1207, 1208, 1209, 1210, 1211, 1212, 1213, 1214, 1215, 1216, 1217, 1218, 1219, 1210, 1211, 1212, 1213, 1214, 1215, 1216, 1217, 1218, 1219, 1220, 1221, 1222, 1223, 1224, 1225, 1226, 1227, 1228, 1229, 1220, 1221, 1222, 1223, 1224, 1225, 1226, 1227, 1228, 1229, 1230, 1231, 1232, 1233, 1234, 1235, 1236, 1237, 1238, 1239, 1230, 1231, 1232, 1233, 1234, 1235, 1236, 1237, 1238, 1239, 1240, 1241, 1242, 1243, 1244, 1245, 1246, 1247, 1248, 1249, 1240, 1241, 1242, 1243, 1244, 1245, 1246, 1247, 1248, 1249, 1250, 1251, 1252, 1253, 1254, 1255, 1256, 1257, 1258, 1259, 1250, 1251, 1252, 1253, 1254, 1255, 1256, 1257, 1258, 1259, 1260, 1261, 1262, 1263, 1264, 1265, 1266, 1267, 1268, 1269, 1260, 1261, 1262, 1263, 1264, 1265, 1266, 1267, 1268, 1269, 1270, 1271, 1272, 1273, 1274, 1275, 1276, 1277, 1278, 1279, 1270, 1271, 1272, 1273, 1274, 1275, 1276, 1277, 1278, 1279, 1280, 1281, 1282, 1283, 1284, 1285, 1286, 1287, 1288, 1289, 1280, 1281, 1282, 1283, 1284, 1285, 1286, 1287, 1288, 1289, 1290, 1291, 1292, 1293, 1294, 1295, 1296, 1297, 1298, 1299, 1290, 1291, 1292, 1293, 1294, 1295, 1296, 1297, 1298, 1299, 1300, 1301, 1302, 1303, 1304, 1305, 1306, 1307, 1308, 1309, 1300, 1301, 1302, 1303, 1304, 1305, 1306, 1307, 1308, 1309, 1310, 1311, 1312, 1313, 1314, 1315, 1316, 1317, 1318, 1319, 1310, 1311, 1312, 1313, 1314, 1315, 1316, 1317, 1318, 1319, 1320, 1321, 1322, 1323, 1324, 1325, 1326, 1327, 1328, 1329, 1320, 1321, 1322, 1323, 1324, 1325, 1326, 1327, 1328, 1329, 13			

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AM	Armenia	GB	United Kingdom	MW	Malawi
AT	Austria	GE	Georgia	MX	Mexico
AU	Australia	GN	Guinea	NE	Niger
BB	Barbados	GR	Greece	NL	Netherlands
BE	Belgium	HU	Hungary	NO	Norway
BF	Burkina Faso	IE	Ireland	NZ	New Zealand
BG	Bulgaria	IT	Italy	PL	Poland
BJ	Benin	JP	Japan	PT	Portugal
BR	Brazil	KE	Kenya	RO	Romania
BY	Belarus	KG	Kyrgyzstan	RU	Russian Federation
CA	Canada	KP	Democratic People's Republic of Korea	SD	Sudan
CF	Central African Republic	KR	Republic of Korea	SE	Sweden
CG	Congo	KZ	Kazakhstan	SG	Singapore
CH	Switzerland	LI	Liechtenstein	SI	Slovenia
CI	Côte d'Ivoire	LK	Sri Lanka	SK	Slovakia
CM	Cameroon	LR	Liberia	SN	Senegal
CN	China	LT	Lithuania	SZ	Swaziland
CS	Czechoslovakia	LU	Luxembourg	TD	Chad
CZ	Czech Republic	LV	Latvia	TG	Togo
DE	Germany	MC	Monaco	TJ	Tajikistan
DK	Denmark	MD	Republic of Moldova	TT	Trinidad and Tobago
EE	Estonia	MG	Madagascar	UA	Ukraine
ES	Spain	ML	Mali	UG	Uganda
FI	Finland	MN	Mongolia	US	United States of America
FR	France	MR	Mauritania	UZ	Uzbekistan
GA	Gabon			VN	Viet Nam

METHOD OF TREATING CARDIAC  
ARREST AND APPARATUS FOR SAME

Field of the Invention

The present invention relates generally to a method of treating patients in cardiac arrest, and more particularly relates to a method of treating cardiac 5 arrest patients through selective aortic arch perfusion.

Background of the Invention

When a person suffers a cardiac arrest, whether it be inside a hospital or elsewhere, the survival rate is 10 relatively low. See L. Becker et al., Ann. Emerg. Med. 20, 355-361 (1991). One of the reasons for the high mortality rate is that cardiopulmonary resuscitation (CPR), one of the primary resuscitation methods, induces little forward blood flow. Although certain 15 drugs, such as epinephrine, can improve vital organ blood flow during CPR, these drugs are administered almost exclusively into a vein; as such, the drug must circulate to and through the heart and lungs before arriving at the peripheral arteries where their primary 20 beneficial pharmacological effects occur. During this low blood state of CPR, the heart and brain receive very limited blood flow blood that may fail to sustain cellular survival.

Invasive techniques such as open-chest 25 cardiac massage (OCCM), direct mechanical ventricular assistance (DMVA), and cardiopulmonary bypass (CPB) can provide better vital organ blood flow. See generally R. Bartlett et al., Ann. Emerg. Med. 13(Part 2), 773-777 (1984); M. Anstadt et al., Resuscitation 21,7-23 30 (1991); P. Safar et al., Am. J. Emerg. Med. 8, 55-67 (1990). However, adaptation of these techniques for

widespread use, particularly in a setting outside a hospital, is unrealistic. In most cases, the response time that would enable significant neurologic recovery would likely run out before these techniques could be 5 employed in a typical emergency.

Selective aortic arch perfusion (SAAP) is a technique designed to provide relatively isolated perfusion of the heart and brain in patients suffering cardiac arrest. SAAP is typically performed by 10 inserting a large lumen balloon occlusion catheter, percutaneously or by surgical cutdown, into a femoral artery and then advancing the catheter tip to the descending aortic arch, preferably just distal to the left subclavian artery. With the SAAP catheter balloon 15 inflated to prevent or restrict distal aortic flow, the coronary and cerebral circulations can be relatively selectively perfused with a solution infused via the lumen of the SAAP catheter. The infused solution is typically an oxygenated blood substitute, such as a 20 perfluoro carbon emulsion or polymerized hemoglobin solution, that contains various agents capable of reversing ischemic metabolic processes, restoring peripheral vascular resistance, correcting hemostatic derangements, and limiting reperfusion-induced cellular 25 damage. For example, vasoconstrictors such as epinephrine are beneficial. Perfusion with such a solution can enhance return of spontaneous cardiac function and facilitate neuronal functional recovery. This technique is described in some detail in U.S. 30 Patent 5,216,032 to Manning.

Research has indicated that, although SAAP shows promise, the technique has certain shortcomings. For example, U.S. Patent No. 5,216,032 to Manning (discussed above) indicates that SAAP is more effective 35 if the aortic valve remains closed. Manning suggests that an initial rapid infusion bolus be administered to close the aortic valve consistently. However, if SAAP

is combined with CPR or some other chest-compressing treatment, the aortic valve can open, thereby resulting in aortic-to-left ventricular shunting of SAAP infusate. This can lead to decreased coronary 5 perfusion and even pulmonary congestion. Another shortcoming of SAAP is due to the so-called "no-reflow" phenomenon, in which certain areas of the cerebral and coronary vascular beds are obstructed due to microvascular sludging of blood cells and perivascular 10 edema. These processes in the microvasculature can prevent blood flow therein even when circulation has been restored. The prior art is silent regarding solutions addressing these shortcomings.

#### Summary of the Invention

15 The present invention responds to the aforementioned shortcomings. As a first aspect, the present invention includes a method of treating a subject in cardiac arrest that comprises the steps of blocking the descending aorta of the subject and 20 perfusing the aortic arch of the subject with a protective solution in an amount effective to deliver oxygen to the heart of the subject. The perfusing step is performed so that the protective solution infuses the aorta in a pulsatile rhythm. Perfusion in a 25 pulsatile rhythm can more effectively flush the subject's microvasculature of sludged blood vessels and overcome perivascular edema, thereby improving the flow of the protective solution.

If performed during CPR, it is preferred that 30 the pulsatile rhythm is selected and timed so that the infusion pulses of protective solution occur during the decompression or relaxation phase of CPR, as this ensures that the aortic valve is closed and thus prevents backflow of protective solution into the heart 35 from the aorta. It is also preferred that the

pulsatile rhythm be selected to resemble a pulse rate that coincides with normal cardiac function.

The present invention also includes an apparatus for treating a subject in cardiac arrest.

- 5 The apparatus comprises storage means for storing a protective solution, delivery means for delivering the protective solution to the aorta of the subject, wherein the delivery means is fluidly connected with said storage means, and pulsing means connected with
- 10 the delivery means. The pulsing means induces the protective solution to be delivered to the aorta in a pulsatile rhythm. Preferably, the apparatus includes means for delivering either a protective solution or autologous blood to the subject, and further includes
- 15 means for controlling the pulsatile rhythm so the perfusion occurs during the decompression or relaxation phase of CPR.

#### Brief Description of the Drawings

Figure 1 is a schematic illustration of a balloon catheter inserted through the femoral artery into the aorta in a human subject proximal to the diaphragm but distal to the left subclavian artery, with the balloon inflated to block the descending aorta.

25 Figure 2 is an enlarged partial view of the heart and balloon catheter of Figure 1 showing the balloon catheter positioned to block the descending aorta and perfuse the aortic arch.

30 Figure 3 is a schematic illustration of an exemplary SAAP system and its catheter extending into the femoral artery of a subject.

Figure 4 is a schematic illustration of a balloon catheter and a micromanometer catheter inserted into the femoral artery of a subject.

35 Figure 5 is a schematic illustration of a triple lumen SAAP catheter.

-5-

Figure 6 is a schematic illustration of a double lumen SAAP catheter with an attached micromanometer transducer.

Figure 7 is a graph plotting coronary 5 perfusion pressure as a function of time for a control group of animals that received only epinephrine, an experimental group that received both epinephrine and continuous SAAP, and an experimental group that received epinephrine and pulsed diastolic SAAP.

10 Detailed Description of the Invention

The present invention will now be described hereinafter in detail with reference to the accompanying drawings. The present invention is not, however, limited to the embodiments described herein; 15 rather, these embodiments are intended to enable those skilled in this art to understand fully the invention.

As described above, the present invention is directed to methods and associated apparatus for treating a subject in cardiac arrest. As used herein, 20 the term "cardiac arrest" refers to all types of cardiac arrest, including ventricular fibrillation, asystole, and pulseless electrical activity. The subject of such cardiac arrest is preferably mammalian and more preferably human, but can be any animal that 25 can be advantageously treated by oxygenating its brain and coronary vasculature during cardiac arrest.

Blocking of the descending aorta, and infusion into the aortic arch, is preferably carried out with a balloon catheter unit such as that 30 illustrated at 5 in Figures 1 and 2. The balloon catheter unit 5 comprises an elongate catheter tube 6 having a primary lumen 7 through which protective solution may pass, and further comprises a balloon 8 at one end of the elongate tube 6 configured to be 35 inflated to block the descending aorta of a human subject. A secondary tube 9 (or alternatively a

secondary lumen formed in the wall of the tube 6 and extending longitudinally therewith) provides a mechanism for inflating the balloon 8 once the balloon 8 is positioned in the desired location within the 5 descending aorta of the subject. A connector such as a Leur Lock™ fitting is provided at the end of the elongate tube 6 opposite the balloon 8 to connect the catheter tube 6 to a supply of the protective solution.

For a human adult, the size of the primary 10 lumen 7 may be from 6 French to 14 French, the length of the catheter tube 6 may be from 50 to 150 centimeters, the inflated dimensions of the balloon 8 may be from 1.5 to 4 centimeters in diameter, the length of the balloon 8 may be from 2 to 10 15 centimeters, and the distance from the tip of the catheter tube 6 to the balloon 8 distance may be from 1 to 4 centimeters. For a human child, the lumen size may be from 5 French to 10 French, the catheter tube length may be from 20 to 80 cm, the balloon inflated 20 dimensions may be from 0.75 to 2 cm in diameter, the balloon length may be 1.5 to 6 cm, and the catheter-tip to balloon distance may be from 0.5 to 2 cm. When inflated, the balloon 5 should be capable of 25 withstanding a pressure of at least 300 to 500 mmHg to prevent leakage of protective solution down the descending aorta and rupture of the balloon during chest compression.

The catheter tube 6 may be made from a firm but somewhat flexible plastic material, and the balloon 30 8 from a latex or polyurethane material. The catheter tube 6 may be made from antithrombotic materials, such as having heparin bonding as a characteristic of construction, to inhibit formation of blood clots in the aorta. Reference may be made to U.S. Patents Nos. 35 5,049,132; 5,049,131; 5,045,061; 5,042,976; 5,041,125; and 5,216,032 for further guidance in the construction of the balloon catheter. It is specifically intended

that the disclosures of all patent references cited herein be incorporated herein by reference.

To block the descending aorta of the subject (Figures 1 and 2), the balloon catheter unit 5 is

- 5 inserted into a femoral artery 10 of the subject and advanced within the descending aorta 11 past the renal arteries 12, 13, the superior mesenteric artery 14, the celiac trunk 15, the diaphragm 16, and past various ones of the intercostal arteries 17. The balloon 8 is
- 10 preferably positioned distal to the carotid arteries 22, 24 and the left subclavian artery 21, but is preferably located at least proximal to the renal arteries 12, 13. The balloon 8 is inflated via the secondary tube 9 to block the descending aorta 11, with
- 15 the leading end of the elongate tube 6 positioned to perfuse the aortic arch 20. In this position, protective solution pumped or forced through the balloon catheter unit 5 and exiting the balloon 8 will perfuse the left subclavian artery 21, the left common
- 20 carotid artery 22, the brachiocephalic trunk 23 (and in turn the right carotid artery 24 and the right subclavian artery 25) and the coronary arteries 26, 27. Although it is preferred to block the descending aorta with a balloon catheter as illustrated, those skilled
- 25 in this art will appreciate that other means for blocking the descending aorta to prevent flow therein, such as manual aortic compression, can also be used with the present invention.

- 30 Perfusion of the aorta of the subject is carried out by infusing the aortic arch of the subject with protective solution. The protective solution is preferably an oxygen-carrying, or oxygenating, solution (i.e., a perfluorocarbon emulsion, a polymerized hemoglobin solution, a lactated Ringer's solution, or
- 35 other blood substitute) optionally containing various other agents such as antioxidants, calcium channel blockers, iron chelators, metabolic substrates,

adrenergic agents, antithrombotics, anticoagulants, and the like, in an amount to combat tissue reperfusion injury and/or enhance cardiac activity while protecting the brain until spontaneous circulation is restored.

5 The solution may be a single phase solution, a dispersion, an emulsion, or any other form physically suitable for delivery to the tissue. The protective solution is "physiologically acceptable" in that it is suitable for injection into the subject without causing  
10 undue deleterious effects.

It is also anticipated that autologous blood of the subject may be used as the protective solution. This is particularly preferred when at least one perfusion has already been carried out; use of the  
15 subject's blood rather than a blood substitute reduces any adverse effects that can result from the infusion overload into the subject's circulatory system. Perfusion of autologous blood is discussed in detail in co-pending U.S. Patent Application entitled METHOD OF  
20 TREATING CARDIAC ARREST, filed concurrently.

Any physiologically acceptable blood-substitute solution may be employed in carrying out the invention. One example is Oxygent™, an intravascular perfluorocarbon emulsion comprised of up to 90 percent  
25 perfluoroctyl bromide and available from the Alliance Pharmaceutical Corp. San Diego, California. Aqueous emulsions containing fluorochemicals in high concentration are disclosed in U.S. Patent Nos. 4,895,876 and 4,866,096; a liquid composition  
30 containing perfluorocyclocarbon and an organoamine oxide is disclosed in U.S. Patent No. 4,443,480; a process for preparing stable emulsions of artificial blood containing perfluorocarbon compounds is disclosed in U.S. Patent No. 4,497,829; liquid compositions  
35 containing fluorinated triethylenediamines are disclosed in U.S. Patent No. 4,814,446.

-9-

The protective solution, whether a blood substitute or autologous blood, should be oxygenated prior to infusion. This can be carried out by contacting the protective solution with an oxygen-rich 5 atmosphere such as Carbogen (95% O<sub>2</sub>/5% CO<sub>2</sub>). The protective solution may be administered at ambient temperature, or may be warmed to a temperature up to body temperature (37° Centigrade) prior to administration. The protective solution may further 10 contain a vasoconstrictor, as discussed below.

Typically between about 250 to 3000 milliliters of the protective solution are infused, with 750 to 2000 milliliters of the protective solution being a more preferred dosage. Perfusion should be 15 carried out sufficiently rapidly to enhance cardiac electrical activity; preferably, the perfusion duration is less than five minutes, and more preferably is less than one to two minutes. In general, the rate of infusion will be from 5 to 20 mL/kg/minute, and will 20 most preferably be from 10 to 15 mL/kg/minute.

In accordance with the present invention, perfusion of the aortic arch is carried out in a pulsatile rhythm. As used herein, a "pulsatile rhythm" means that the protective solution is infused in a 25 pulsating fashion, such that greater and lesser amounts of the protective solution are infused alternatively. Although the inventor does not wish to be bound by a theory of operation, it is hypothesized that a pulsatile infusion rhythm, particularly one that 30 closely resembles or mimics the aortic pressure fluctuations of the normal cardiovascular state, can be more effective in delivering blood or a blood substitute to the brain and coronary arteries. In particular, a pulsatile rhythm may flush the 35 microvasculature of sludged blood cells and overcome perivascular edema, which flushing can improve blood flow to the microvasculature. Also, the rheology of

-10-

blood may be such that a pulsed infusion may be more effective in initiating the movement of pooled blood (in which blood cells may have agglomerated) than a continuous infusion.

5 During pulsatile perfusion, the pressure difference between the maximum and minimum pressures experienced by the aorta (*i.e.*, the systolic and diastolic pressures) is typically between about 5 and 50 mm Hg, and more preferably is between about 20 and  
10 40 mm Hg. The "pulsing cycle" (*i.e.*, the duration between one maximum pressure infusion and the next separated by a pressure drop) is preferably between about 30 and 200, and more preferably between about 50 and 120, cycles per minute. Each of these infusion  
15 parameters (*i.e.* the rate of pulsatile infusion and the pressure experienced within the aorta) may be selected to substantially mimic or resemble normal cardiac function, as it is hypothesized that, due to the unique composition and rheology of blood, blood  
20 circulation may be improved if the blood is forced through the circulatory system by forces similar to that experienced during normal cardiac function

Perfusion with a pulsatile rhythm can be carried out in conjunction with other resuscitation  
25 methods, such as CPR. If carried out in conjunction with CPR, pulsatile perfusion can be timed to occur during the diastolic (*i.e.*, relaxed, or decompressed) phase of CPR. As noted above, CPR chest compressions can open the aortic valve and thereby cause aortic-to-  
30 left ventricular shunting of protective solution, which in addition to reducing coronary perfusion, can also lead to pulmonary congestion. If, however, perfusion is limited to those time periods between chest compressions, and is carried out with sufficient  
35 pressure, the aortic valve should remain shut, thus preventing such shunting of protective solution. Further, pulsed diastolic infusions can raise arterial

-11-

pressure and coronary perfusion pressure, which together can improve coronary artery perfusion.

Pulsatile perfusion can be effected in any manner recognized by those skilled in this art to be 5 suitable for delivering a substance in a pulsatile rhythm. Exemplary apparatus include rotary roller pumps, reciprocating syringe-type pumps, squeezable bulbs, and the like. It is preferred that the perfusion apparatus be operably controlled by a 10 controller that is also operably connected to a CPR device so that the operation of each is coordinated.

It is also preferred that the pressure within the aorta be monitored during perfusion; this information can be used to adjust the infusion 15 quantity, duration, or pressure. A controller operably connected to the pressure monitor and to the delivery system for the protective solution can be configured to automatically provide a preselected quantity of protective solution.

20 A vasoconstrictor may be employed in the methods described herein. Suitable adrenergic vasoconstrictors include epinephrine, norepinephrine, methoxamine, phenylephrine, with epinephrine being preferred; nonadrenergic vasoconstrictors can also be 25 used. Vasoconstrictors may be administered by any suitable means, such as by parenteral injection (e.g., intravenous injection, intraarterial injection, subcutaneous injection, intramuscular injection, intraperitoneal injection, tracheobronchial 30 administration), or by including the vasoconstrictor in the protective solution used to perfuse the aortic arch. It is preferred that the administration of the vasoconstrictor be concurrent with (i.e., sufficiently close in time to) perfusion of the aortic arch so that 35 the vasoconstrictor will affect coronary perfusion with the protective solution. The dosage of the vasoconstrictor will vary depending on the subject and

-12-

the particular vasoconstrictor chosen, but will generally be between 0.002 and 0.3 mg/kg, will more preferably be between 0.005 and 0.2 mg/kg, and will most preferably be between 0.01 and 0.1 mg/kg.

- 5 Medicaments containing a vasoconstrictor for enhancing coronary perfusion with a protective solution during selective aortic arch perfusion may be prepared by contacting and mixing the vasoconstrictor with a pharmaceutically acceptable carrier, such as a sterile
- 10 pyrogen-free saline solution, in accordance with techniques known in the pharmacy art. The pharmaceutical carrier may be the protective solution itself, such as a perfluorochemical blood-substitute solution as discussed above.
- 15 Restoring spontaneous circulation in the subject may be carried out by any suitable means, such as electric shock or precordial thump (i.e., application of an external force), or by enhancing electrical activity through perfusion and other
- 20 resuscitation techniques so that normal electrical activity re-emerges without application of an external force. An electric shock to heart muscle tissue which will restore spontaneous circulation from a chaotic electrical signal (or "defibrillation") may be
- 25 administered with any suitable defibrillator, such as the Responder™ 1500 (manufactured by Marquette Electronics, Milwaukee, Wisconsin). As discussed above, it is preferred that a mechanical CPR device be operably coupled with the perfusion unit so that
- 30 infusion can occur during a decompression phase of CPR.

An exemplary SAAP apparatus 40 is schematically illustrated in Figure 3. The apparatus 40 comprises an oxygenating storage tank 41 having two upper ports 43, 44, and a lower port 45. A venous 35 blood withdrawal line 46 is attached at one end to upper port 43 and at its other end to a venous blood withdrawal catheter 47 by a fitting 48. The venous

-13-

blood withdrawal catheter 47 is to be inserted into the femoral vein of a subject. An anticoagulant syringe 49 is also fluidly connected to the fitting 48 via a catheter, connector, or connecting tubing 50. An 5 oxygenated blood infusion line 51 is attached at one end to the lower port 45. As the oxygenated blood infusion line 51 extends away from the lower port 45, it meets with and travels adjacent to the venous blood withdrawal line 46. The adjacent sections of the lines 10 46, 51 are enclosed within a roller pump apparatus 52, which comprises a pair of wheels 53 mounted on a rotary arm 54. Rotation of the arm 54 causes the wheels 53 to contact the lines 46, 51 and thereby deliver blood or a blood substitute to and from the SAAP apparatus 40. At 15 its outlet end, the oxygenated blood infusion line 51 meets the outlet end of an oxygenated blood recirculation line 55 that extends thereto from the upper port 44. An infusion line 56 extends from the junction of the lines 51, 55 to an infusion port 57 20 that is connected to the inlet end of a balloon catheter (not shown) that is to be inserted in the femoral artery of a subject. A syringe 42 is fluidly interconnected with the infusion line 56. The storage tank 41 also includes an oxygen intake port 58 and an 25 additional syringe port 59. The venous blood withdrawal line 46 also includes an oxygen intake port 60 between the fitting 48 and the roller pump apparatus 52. Three valves 61, 62, 63 are located, respectively, adjacent the fitting 48 on the venous blood withdrawal 30 line 46, on the oxygenated blood recirculation line 55, and on the infusion line 56 between the syringe 42 and the infusion port 57. A fourth valve 67 is located on the oxygen intake port 60. A controller 65 is operably connected with the syringe 42 to control its operation 35 and is also operably connected with a CPR device (indicated schematically at 66). The apparatus 40 may also include a valve (not shown) that can remove any

-14-

air that enters the system prior to its being introduced into the subject's aorta.

In operation, autologous blood is drawn from the subject into the femoral venous blood withdrawal catheter 47. Anticoagulant, such as heparin, is added to the withdrawn blood through the anticoagulant syringe 49. The venous blood is pumped through the venous blood withdrawal line 46 by the roller pump apparatus 52; as the rotary arm 54 rotates, the wheels 53 provide a positive pressure on the blood that forces it through the line 46. The venous blood enters the storage tank 41 through upper port 43 and flows into the bottom portion of the storage tank 41. Oxygen is continuously introduced into the storage tank through the oxygen intake port 58. After the blood is oxygenated in the storage tank 41, it flows therefrom through the lower port 45 into the oxygenated blood infusion line 51. The blood is propelled by the action of the roller pump unit 52 to the infusion line 56. Flow into the oxygenated blood recirculation line 55 is prevented because the valve 62 is in a closed position. Oxygenated blood can be furnished in a pulsatile rhythm by reciprocating action from the syringe 42. The oxygenated blood flows through the infusion line 56, through the infusion port 57, and into the balloon catheter for delivery to the aorta.

Similarly, this apparatus 40 can also be used to deliver a blood substitute to the subject. The blood substitute can be introduced into the storage tank 41 through the syringe port 59. The valves 61 and 62 are in their closed positions. Protective solution follows the same path to the subject as that of oxygenated blood described above.

Further, the apparatus 40 can be used to recirculate, and thereby thermally and hemodynamically prepare, protective solution for perfusion. For recirculation of protective solution, valve 63 is in

-15-

its closed position, and valve 62 is in its open position. This creates a closed loop system that proceeds from the storage tank 41 to the oxygenated blood infusion line 51, the recirculation line 55, and 5 the upper port 44 before returning to the storage tank 41.

Use of the apparatus 40 is exemplified by the following scenario. A physician arrives at the scene of a cardiac arrest patient and secures access to the 10 femoral artery by either percutaneous or surgical means. The blood substitute solution is oxygenated during the vascular access procedure. The balloon catheter is advanced to the thoracic aorta and epinephrine is administered into the aortic arch. The 15 catheter balloon is inflated and an initial SAAP infusion of the blood substitute (which can contain reperfusion-injury combating agents) is performed. An initial bolus of blood substitute is rapidly infused to close the aortic valve and CPR is halted for the 20 initial infusion lasting 30 to 60 seconds. This would assure that the myocardium was effectively perfused with the blood substitute. During this initial infusion, access to a femoral vein is secured. Aortic arch epinephrine administration can be titrated to 25 maximize CPR-diastolic coronary perfusion pressure (CPP). Two to three minutes after the initiation of the first blood substitute infusion, a second blood substitute infusion is initiated. Pulsed diastolic infusion induced by the syringe 42 using half of the 30 volume initially infused would be used to elevate CPP and diminish the volume effects of a second infusion. The infusion pulses are administered during the decompression and relaxation phases of CPR. During the second blood substitute infusion, femoral blood is 35 withdrawn, anticoagulated via the anticoagulant syringe, oxygenated in the storage tank 41, thermally treated (if necessary), and filtered in preparation for

-16-

reinfusion. Depending upon the rapidity of femoral venous access and blood withdrawal, either a third blood substitute infusion similar to the second or an autologous blood infusion is initiated. Aortic arch 5 epinephrine titration, other pharmacologic therapies, and repetitive or continuous autologous blood SAAP can be performed until return of spontaneous circulation (ROSC) is attained or the resuscitative efforts are halted. If ROSC is attained, autologous blood 10 withdrawal and reinfusion could be continued (with or without the catheter balloon inflated depending on the clinical situation) serving as partial cardiopulmonary bypass support for the still unstable cardiovascular system in the early post-resuscitation phase. Graded 15 balloon inflation could be used to provide peripheral resistance as needed in the immediate and early post-resuscitation phase.

As noted above, it can be beneficial to monitor the pressure within the aorta during infusion, 20 particularly when a pulsatile infusion rhythm is employed, and more particularly when the pulses of the infusion are timed to occur during chest decompressions of CPR (*i.e.*, CPR-diastolic pulses). Figures 4, 5 and 6 schematically illustrate three different catheter 25 embodiments that enable the pressure to be monitored. Figure 4 illustrates a balloon catheter 100 that is inserted within the aorta adjacent a micromanometer-tipped catheter 102. The micromanometer tip 103 of the catheter 102 is positioned above (*i.e.*, nearer the 30 heart) the balloon 101 of the catheter 100 to enable the pressure at that location to be monitored. Preferably and illustratively, the catheter 102 is operably connected to a controller 104 that is also operably connected to a mechanical CPR unit (not shown) 35 and to a perfusion system connected to the balloon catheter 100. When the pressure detected by the micromanometer tip 103 falls below a predetermined

level, thereby indicating that the CPR unit is in decompression or relaxation phase (as opposed to a chest compression phase) and thus it is desirable that the aortic valve be closed, the controller 104

5 activates the perfusion system to infuse a protective solution pulse. At higher pressures experienced during chest compression, the controller 104 prevents infusion to avoid misdirecting protective solution into the left ventricle.

10 Figure 5 schematically illustrates another pressure-detecting catheter embodiment. A triple-lumen catheter unit 110 comprises three separate channels: a balloon inflation line 111 that terminates within the balloon 112 of the unit 110; an infusion line 113 that terminates beyond the balloon 112 to provide protective solution; and a fluid-filled pressure monitoring line 115 that also terminates beyond the balloon 112. Another embodiment, illustrated in Figure 6, is a double-lumen catheter 120 having the typical balloon

15 inflation line 121 and infusion line 122, but also including an electrical line 123 tipped with a pressure transducer 124 located beyond the balloon. Each of the embodiments of Figures 5 and 6 can be operated in a similar manner to the embodiment of Figure 4.

20 The present invention may be beneficial in the management of traumatic and other nontraumatic/surgical causes of cardiac arrest or profound hypovolemia with impending cardiac arrest. In addition to rapid volume replacement, the catheter

25 balloon could serve much like an aortic cross-clamp to significantly reduce or stop exsanguinating hemorrhage from the abdomen, pelvis, or lower extremities until the patient can be transferred to the operating room. Clinical situations where the present invention can be

30 used include: blunt abdominal or multi-system trauma with profound hemorrhage/hypovolemia; penetrating abdominal trauma with profound hemorrhage/hypovolemia;

-18-

ruptured abdominal aortic aneurysm with profound hypotension or impending arrest; and major pelvis fractures or disruption.

The invention is disclosed in greater detail 5 in the following non-limiting examples. In the examples, "kg" means kilograms, "mg" means milligrams, "mmHg" means pressure measured in millimeters of mercury, "°C" means degrees Celsius, "Fr" means lumen diameter measured in French, "mL" means milliliters, 10 "mEq" means milliequivalents, "Hz" means Hertz, "cm" means centimeters, "J" means joules, "min" means minutes, "sec" means seconds, and "w/v" means weight per unit volume.

#### EXAMPLE 1

15 **Animal Model of Cardiac Arrest**

The canine cardiac arrest model described in this section was used for all of the SAAP studies subsequently described. Differences in the protocols between experimental studies are described under the 20 individual study sections.

Animals: Mongrel canines from a single provider source were used in all of the SAAP studies. Animals were evaluated by the staff of the Division of Laboratory Animal Medicine for evidence of acute or chronic 25 illness.

Anesthesia: Anesthesia was induced with thiopental 25-30 mg/kg IV and maintained with inhalational enflurane at 1.5% using a volume ventilator at an  $F_2O_2$  of 0.21. End-tidal  $CO_2$  was continuously measured with a 30 sidestream sampling capnometer (Datex) and minute ventilation was adjusted to an end-tidal  $CO_2$  of 38-42 mmHg. ECG lead II was monitored. Body temperature was maintained at 37-38°C with a warming blanket. Arterial blood gases were obtained to assure normal acid-base 35 status. Ventilatory adjustments were made as needed to adjust the arterial pH to the 7.30-7.40 range. Serial

evaluation of reflexes, heart rate and responses to graded pain stimulation were performed to assure adequate anesthesia.

Instrumentation: Catheter introducers (8.5 Fr) were 5 placed percutaneously into the right and left external jugular veins. Surgical cutdowns were performed to expose the right and left femoral vessels. Fluoroscopy was used to position all catheters. A Swan-Ganz catheter and a pacing wire were inserted via the 10 external jugular veins into a pulmonary artery and the right ventricle, respectively. Micromanometer-tipped catheters (Millar Instruments) were positioned via the right femoral vessels into the right atrium and mid-aortic arch. A 9 Fr balloon occlusion catheter was 15 inserted into the left femoral artery and advanced to the descending aortic arch. A 9 Fr catheter introducer was placed in the left femoral vein in animals undergoing blood withdrawal for subsequent reinfusion. A low pressure saline-filled balloon catheter was 20 placed in the retrocardiac esophagus with a micromanometer catheter inside the balloon to measure esophageal pressure. Esophageal pressure served as an extravascular indicator of intrathoracic pressure changes during CPR. ECG and all pressures were 25 continuously recorded on a multi-channel analog recorder (Grass Instruments Model 7 Polygraph).

Selective Aortic Arch Perfusion System: The major components of the SAAP system were: (1) a 9 Fr aortic arch balloon occlusion catheter; (2) a resuscitation 30 solution; (3) an infusion pump; and (4) an oxygenation apparatus. Perfluoroctyl bromide emulsion (perflubron emulsion, [Oxygent™, Alliance Pharmaceutical Corporation, San Diego]) in a 60% weight/volume preparation was the resuscitation solution used in all 35 studies evaluating pulsatile SAAP. Autologous blood was withdrawn and reinfused by the SAAP technique after initial perflubron infusion in the procedure described

-20-

in Example 4 and as the only SAAP perfusate in the procedure described in Example 3. The infusion pump used was a Sarns low-pressure bypass pumphead. Pulsed infusion during SAAP was created using a 50 mL syringe 5 connected to the infusion tubing between the Sarns pump and the SAAP catheter. The syringe was cyclically filled and emptied manually with approximately 10 mL of infusate withdrawn and then infused under maximal manual pressure at a rate of 60-80 pulsed infusions per 10 minute. During the syringe withdrawal phase, all infusate from the Sarns pump was directed into the 50 mL syringe and, therefore, essentially no infusion through the SAAP catheter occurred during this phase. During the syringe emptying (infusion) phase, perfusate 15 from both the syringe and the pump were permitted to flow out of the SAAP catheter. The total volume infused per infusion pulse was approximately 10 mL.

Perflubron emulsion was oxygenated with 100% oxygen to yield a  $pO_2$  in the range of 730-790 mmHg. 20 Sodium bicarbonate 3.0-4.0 mEq was added to each 300 mL volume of 60% w/v perflubron emulsion in order to yield a pH of 7.35-7.45. The  $pCO_2$  with this preparation was less than 10 mmHg. Temperature was adjusted to 37°C.

In the two procedures using autologous blood 25 (Examples 3 and 4), femoral venous blood was withdrawn, heparinized, circulated through the oxygenator, maintained at 37°C and then reinfused via the SAAP catheter at time intervals described in the individual study sections.

30 The SAAP catheter latex balloon was inflated sufficiently to completely occlude the aorta just prior to SAAP infusion and the Sarns pump was used to infuse the perflubron and/or autologous blood. For all SAAP infusions used in the SAAP studies, an initial 50 mL 35 bolus was rapidly infused in order to competently close the aortic valve. After the initial bolus, an infusion

-21-

was maintained using the Sarns low-pressure bypass pumphead for a prescribed time interval.

Protocol - Baseline measurements of heart rate, aortic pressure, right atrial pressure, cardiac output,

5 hematocrit, respiratory rate, tidal volume, end-tidal CO<sub>2</sub> arterial blood gases, and mixed venous blood gases were obtained before cardiac arrest. Ventricular fibrillation was induced using alternating current (60 Hz) applied to the heart for 3-5 seconds via the right 10 ventricular pacing wire. Ventilatory support simultaneously ceased and the animals remained in ventricular fibrillation and total circulatory arrest for ten minutes. At the ten minute mark, mechanical CPR (Thumper™, Michigan Instruments) was initiated at a 15 rate of 80 compressions of 130 lb per minute with animals in the supine position. Unless otherwise indicated, CPR was accompanied by epinephrine dosages of 0.01 mg/kg given intravenously. Ventilation was performed using 100% O<sub>2</sub> and inspiratory force of 25 cm 20 H<sub>2</sub>O at a 5:1 compression:ventilation ratio.

Experimental Therapeutic Interventions - Experimental interventions were initiated at 12 minutes after arrest. In all of the SAAP studies, defibrillation (electrical countershock) was attempted at one minute 25 intervals as indicated after the experimental interventions were begun; defibrillation energy was applied at 100 J for the first defibrillation attempt, 150 J for the second, and 200 J for all others. Resuscitative efforts were continued as needed up to 30 the 30 minute mark after initiation of ventricular fibrillation.

#### EXAMPLE 2

##### Serial SAAP-Perflubron Infusion Study

It was hypothesized that serial SAAP

35 infusions would be more effective than a single brief SAAP infusion so long as volume overload effects could

-22-

be avoided. It was also hypothesized that serial SAAP combined with aortic arch epinephrine administration would enhance ROSC compared to standard therapy in a model of ventricular fibrillation cardiac arrest. It 5 was further hypothesized that SAAP performed as a pulsed infusion during CPR-diastole would diminish aortic valve regurgitation and that aortic pressures might be higher than those seen with continuous SAAP infusion without CPR. Thus, initial continuous SAAP 10 without CPR (SAAP-C) was followed by pulsed diastolic SAAP during CPR (SAAP-PD) and the hemodynamic effects of the two methods were observed.

There were two intervention groups. The animals of the control group (n=6) received standard 15 therapy with all epinephrine doses of 0.01 mg/kg given at 3 min. intervals via a peripheral forelimb intravenous (IV) catheter beginning at 12 min. of arrest. The animals of the experimental group (n=6) received standard therapy and aortic arch epinephrine 20 (0.01 mg/kg given at 3 min. intervals) beginning at 12 min. of arrest. A first SAAP infusion with 300 mL of oxygenated 60% w/v perflubron emulsion began at 12 min 15 sec of arrest (50 mL bolus followed by a constant infusion over 30 sec) [SAAP-C]. CPR was halted during 25 the first SAAP infusion. If needed, two more SAAP infusions were performed at 15 min 30 sec and 18 min 30 sec as CPR was continued (each was 150 mL of perflubron infused as 5-10 pulses during CPR-diastole) [SAAP-PD]

TABLE 1 CPP (Aortic pressure minus right atrial pressure)

30 Group	ROSC	12 min	SAAP-C	13 min	15 min	SAAP - PD	16 min
Control 0/6		82±3.1	8.3±4.1	12.3±6.7	12.8±4.7	10.5±3.7	10.2±4.0
SAAP 4/6*		7.5±4.6	44.8±13.8#	17.3±10.4	13.2±9.0	48.5±16.6#	36.8±37.1

\*p&lt;0.05 vs Control Fisher's Exact

#p&lt;0.01 vs Control, ANOVA

As the data indicates, ROSC was significantly increased 35 by the combination of serial SAAP infusions and aortic arch epinephrine administration. CPP was substantially

-23-

increased with both continuous SAAP and pulsed diastolic SAAP. SAAP-C and SAAP-PD appeared to have similar hemodynamic effects. However, coronary perfusion during SAAP-PD was not seen fluoroscopically 5 as with SAAP-C.

### EXAMPLE 3

#### Serial Pulsed Diastolic SAAP-Autologous Blood Study

SAAP using only autologous blood (AB) was studied to determine if this intervention alone might 10 improve resuscitation outcome. The pulsed CPR-diastolic SAAP infusion method was used for this study.

There were two intervention groups. The animals in the control group (n=5) received standard therapy with the exception that all epinephrine doses 15 of 0.01 mg/kg were given at 3 min intervals via the aortic arch beginning at 12 min of arrest. The animals of the experimental group (n=5) received CPR and aortic arch epinephrine as in the control group. At the 11 min mark, left femoral venous blood withdrawal began. 20 SAAP-PD with autologous blood began at 12 min 15 sec and was continued 45 sec while CPR was in progress. PD-AB-SAAP infusion was repeated at 2 min intervals as needed until ROSC.

The results of this procedure are shown in Table 2 25 below.

TABLE 2 CPR-diastolic CPP (mmHg, mean $\pm$ S.D.)

Group	ROSC	11 min	12 min	13 min	14 min	15 min	16 min
Control	1/5	8.8 $\pm$ 2.4	9.0 $\pm$ 2.9	19.8 $\pm$ 9.2	16.0 $\pm$ 6.3	14.0 $\pm$ 6.3	11.5 $\pm$ 5.3
PD-AB-SAAP	5/5*	9.6 $\pm$ 4.4	7.6 $\pm$ 5.8	45.8 $\pm$ 11.3#	13.0 $\pm$ 10.3	38.0 $\pm$ 13.6#	16.8 $\pm$ 9.9

30 \*p<0.05 vs Control, Fisher's Exact                    #p<0.05 vs Control, ANOVA

As indicated by the data, PD-AB-SAAP increased ROSC and CPP significantly compared with standard therapy plus aortic arch epinephrine. As PD-AB-SAAP does not result in progressive volume loading, the procedure can be

-24-

repeated continuously until ROSC is attained or resuscitative efforts are halted. PD-AB-SAAP may be a useful adjunctive intervention after initial SAAP therapy with a blood substitute perfusate.

5

#### EXAMPLE 4

##### **Serial SAAP-Perflubron/Blood: Continuous SAAP versus Pulsed Diastolic SAAP**

This study sought to combine all of the 10 hypothesized beneficial elements of SAAP (aortic arch epinephrine, SAAP with perflubron, and SAAP with autologous blood as needed) and evaluate the effect on ROSC and CPP compared with a control group receiving aortic arch epinephrine. Two experimental groups were 15 used in order to evaluate continuous SAAP infusion with CPR halted versus pulsed diastolic SAAP infusion during continued CPR.

There were three intervention groups. Animals in the control group (n=5) received standard 20 therapy with the exception that all epinephrine doses of 0.01 mg/kg given at 3 min intervals via the aortic arch beginning at 12 min of arrest. Animals in the first experimental group (n=5) received standard 25 therapy and aortic arch epinephrine as in the control group. In addition, they received two SAAP infusions with 300 mL of oxygenated 60% w/v perflubron emulsion beginning at 12 min and 15 min of arrest. If ROSC was not attained, autologous blood was withdrawn and reinfused at 1 min intervals beginning at 18 min of 30 arrest. CPR was halted during SAAP and all SAAP infusions were 50 mL bolus followed by continuous infusion [SAAP-C]. Animals in the second experimental group (n=5) received the interventions with the exception that all SAAP infusions were performed as 35 pulsed infusions during CPR-diastole [SAAP-PD].

ROSC and CPP data obtained by these procedures are shown in Tables 3 and 4 and in Figure 7.

-25-

TABLE 3

CPR-diastolic CPP (mmHg, mean $\pm$ S.D.)

<u>Group</u>	<u>ROSC</u>	<u>12 min</u>	<u>SAAP#1</u>	<u>15 min</u>	<u>SAAP#2</u>	<u>18 min</u>	<u>SAAP#3</u>
Control	1/5	7.0 $\pm$ 2.4	17.0 $\pm$ 11.6	12.4 $\pm$ 9.4	11.2 $\pm$ 6.4	8.5 $\pm$ 6.4	7.2 $\pm$ 5.2
5 SAAP-C	5/5*	7.4 $\pm$ 4.8	41.2 $\pm$ 10.0#	8.6 $\pm$ 5.3	39.4 $\pm$ 9.2#	7.5 $\pm$ 2.6	42.2 $\pm$ 11.6#
SAAP-PD	5/5*	7.2 $\pm$ 4.8	43.2 $\pm$ 9.3#	8.4 $\pm$ 4.8	50.8 $\pm$ 4.3	5.4 $\pm$ 4.3	58.0 $\pm$ 14.1#

\* $p<0.05$  vs Control, Fisher's Exact      # $p<0.05$  vs Control, ANOVA

TABLE 4

Time from Initiation of CPR to ROSC with a Systolic Aortic Pressure, mmHg for 1 minute

<u>Group</u>	<u>ROSC</u>	<u>Time from Initiation of CPR to ROSC with a Systolic Aortic Pressure, mmHg for 1 minute</u>
10 SAAP-C	5/5	11.9 $\pm$ 3.9 mins
SAAP-PD	5/5	11.4 $\pm$ 4.0 mins p=NS, SAAP-PD vs SAAP-C

These results indicate that both SAAP-C and SAAP-PD interventions can result in significantly higher rates of ROSC than the control group receiving aortic arch epinephrine. Coronary perfusion pressure (CPP) during the SAAP infusion periods was also much greater in both the SAAP-C group and the SAAP-PD group compared with the control group.

Fluoroscopy during the first SAAP infusion (SAAP#1) showed better perfusion of the coronary arteries with the SAAP infusate in the SAAP-C group than in the SAAP-PD group (perflubron is radiopaque due to the bromide it contains). This suggests that stopping CPR during SAAP infusion may allow for a greater proportion of the SAAP perfusate to be directed to the myocardium.

CPP tended to be greater with SAAP-PD than SAAP-C in the second and third SAAP infusions (SAAP#2 and SAAP#3) which may be predominantly or at least partially related to achieving an adequate (or optimal) peripheral arterial vasoconstrictor response. Restoring peripheral arterial resistance has clearly been shown to improve the blood flow generated by closed-chest CPR. In the setting of SAAP-PD, an adequate vasoconstrictor response may increase the volume per minute of perfusion generated by the CPR sufficiently to result in a higher CPP (and overall greater liter/min perfusion) when combined with SAAP-PD than would be seen with SAAP-C alone without CPR.

-26-

Right atrial pressure (RAP) increases during the first two SAAP infusions (SAAP#1 and SAAP#2, perflubron) were similar for the SAAP-C and SAAP-PD groups. These RAP increases (a result of the 5 intravascular volume loading during SAAP) were responsible for the progressive decreases in CPP which occurred during the SAAP#1 and SAAP#2 infusion periods. RAP increases during the third SAAP infusion (SAAP#3, autologous blood) were also similar for the SAAP-C and 10 SAAP-PD groups. However, the magnitude of the RAP increases was less during SAAP#3 compared with SAAP#1 and SAAP#2. This was anticipated due to the venous blood withdrawal occurring both prior to and during the SAAP#3 infusion. The femoral venous blood withdrawal 15 reduced the RAP prior to SAAP#3 and limited the RAP increase during SAAP#3.

The foregoing examples are illustrative of the present invention, and are not to be construed as limiting thereof. The invention is defined by the 20 following claims, with equivalents of the claims to be included therein.

-27-

THAT WHICH IS CLAIMED IS:

1. An apparatus for treating a subject in cardiac arrest, comprising:  
storage means for storing a protective solution;  
5 delivery means for delivering the protective solution to the aorta of said subject, said delivery means being fluidly connected with said supply means; and  
pulsing means connected with said delivery  
10 means for inducing the protective solution to be delivered to the aorta in a pulsatile rhythm.
2. The apparatus of Claim 1, wherein said pulsing means comprises a pump configured to apply positive pressure to the protective solution in a  
15 pulsatile rhythm.
3. The apparatus of Claim 1, wherein said delivery means comprises a balloon catheter sized and configured to block the descending aorta of said subject, said balloon catheter including an outlet  
20 positioned and configured to perfuse the aortic arch of said subject with protective solution as said balloon catheter blocks the descending aorta.
4. The apparatus of Claim 1, wherein said pulsing means is configured to provide said protective  
25 solution in a pulsatile rhythm of between about 30 and 200 cycles per minute.
5. The apparatus of Claim 1, wherein said pulsing means is configured to provide said protective solution in a pulsatile rhythm so that the pressure  
30 differential by the aorta is between about 5 and 75 millimeters mercury.

-28-

6. The apparatus of Claim 1, further comprising means for repeatedly and alternatively compressing, then compressing, the chest of said subject.

5 7. The apparatus of Claim 6, further comprising control means for controlling the operation of said pulsing means and said compressing means such that said delivery means delivers said protective solution to said subject during decompression of the  
10 chest of said subject but fails to deliver said protective solution to said subject during compressions of the chest of said subject.

8. The apparatus of Claim 1, further comprising:

15 blood removal means for removing blood from the subject;

blood oxygenation means fluidly connected with said blood removal means for introducing oxygen into the blood of said subject that has been removed  
20 therefrom; and

blood return means fluidly connected with said blood oxygenation means for returning oxygenated blood to said subject.

9. The apparatus of Claim 8, wherein said  
25 blood return means is fluidly connected with said delivery means.

10. The apparatus of Claim 8, wherein said storage means is fluidly connected with said blood return means.

30 11. The apparatus of Claim 8, further comprising means for administering an anticoagulant to blood removed from said subject, said anticoagulant-

-29-

administering means being fluidly connected with said blood removal means.

12. The apparatus of Claim 8, further comprising pressure-detecting means for detecting the 5 pressure within the aorta of said subject during perfusion.

13. The apparatus of Claim 12, wherein said pressure-detecting means is operably connected with said delivery means so that said delivery means 10 delivers a preselected quantity of the protective solution responsive to said pressure-detecting means.

14. A method of treating a subject in cardiac arrest, comprising the steps of:  
15 blocking the descending aorta of said subject;  
perfusing the aortic arch of said subject with a protective solution in an amount effective to deliver oxygen to the heart of said subject, said perfusing step being performed so that said protective 20 solution infuses said aorta in a pulsatile rhythm.

15. The method of Claim 14, further comprising the step of administering a vasoconstrictor in an amount effective to enhance coronary perfusion with said protective solution.

25 16. The method of Claim 14, wherein said perfusing step comprises infusing said aorta with said protective solution such that pressure variations created in said aorta thereby substantially mimic pressure variations in said aorta during normal cardiac 30 function.

-30-

17. The method of Claim 14, wherein said perfusing step is performed with sufficient pressure to flush blood cells from microvasculature of said subject.

5 18. The method of Claim 14, wherein said perfusing step comprises infusing said aorta with said protective solution in a pulsatile rhythm such that the pressure differential experienced by said aorta is between about 5 and 75 millimeters mercury.

10 19. The method of Claim 14, wherein the rate of the pulsatile rhythm is selected to be generally similar to the normal heart rate of said subject.

15 20. The method of Claim 19, wherein said pulsatile rhythm rate is between about 30 and 200 cycles per minute.

21. The method of Claim 14, further comprising the step of restoring spontaneous circulation to said subject.

22. The method of Claim 14, wherein said 20 blocking step comprises blocking said descending aorta of said subject with a balloon catheter, and wherein said perfusing step comprises perfusing said aortic arch with oxygenated solution through a lumen of said balloon catheter.

25 23. A method of treating a subject in cardiac arrest, comprising the steps of:  
blocking the descending aorta of said subject;  
repeatedly and alternatively compressing,  
30 then decompressing, the chest of said subject;

-31-

perfusing the aortic arch of said subject with a protective solution in an amount effective to deliver oxygen to the heart of said subject, said perfusing step being performed so that said protective 5 solution infuses said aorta in a pulsatile rhythm during said decompressing steps.

24. The method of Claim 23, wherein said perfusing step is performed so that the aortic valve of said subject is maintained in a substantially closed 10 position.

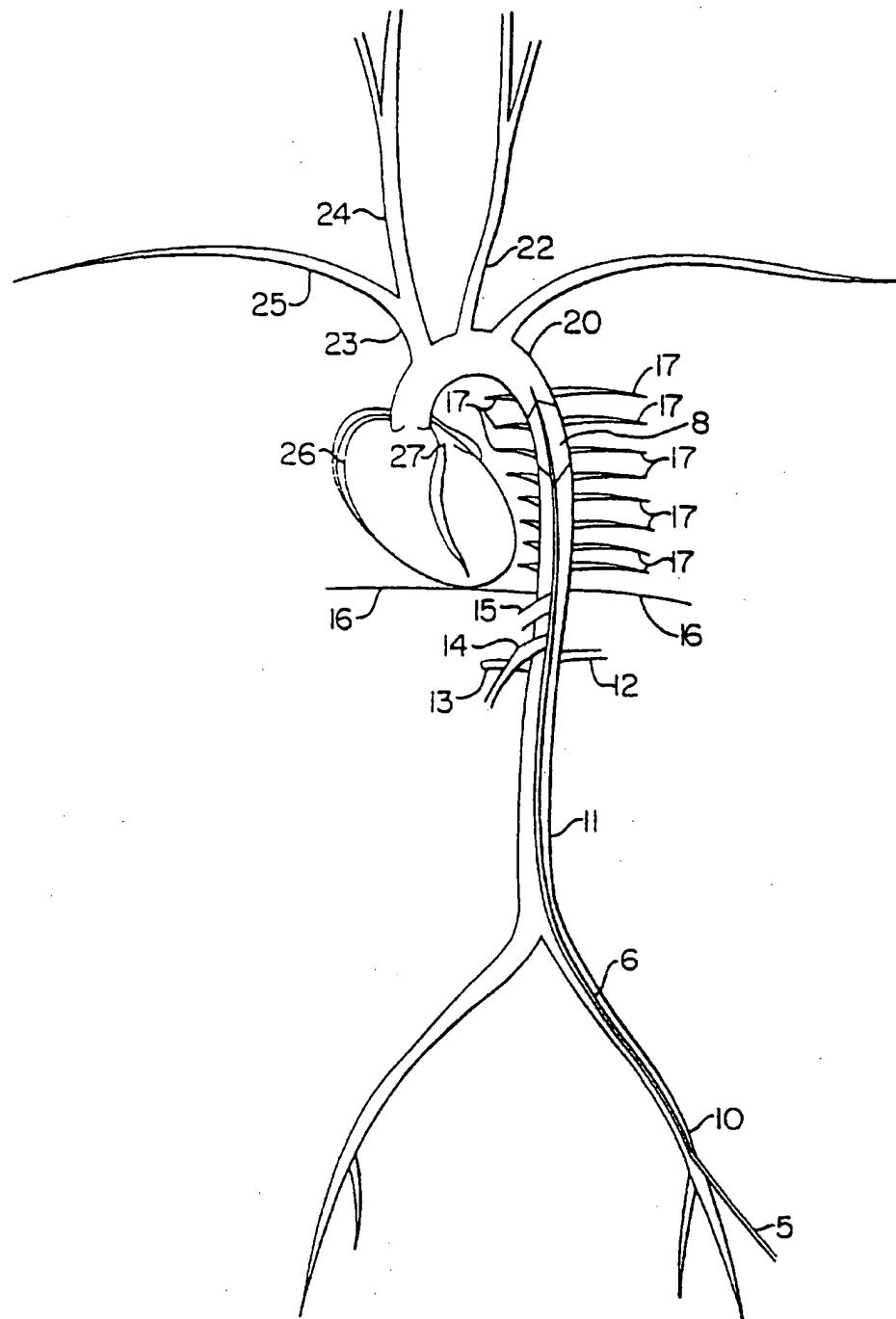


FIG. 1.

SUBSTITUTE SHEET (RULE 26)

2/6

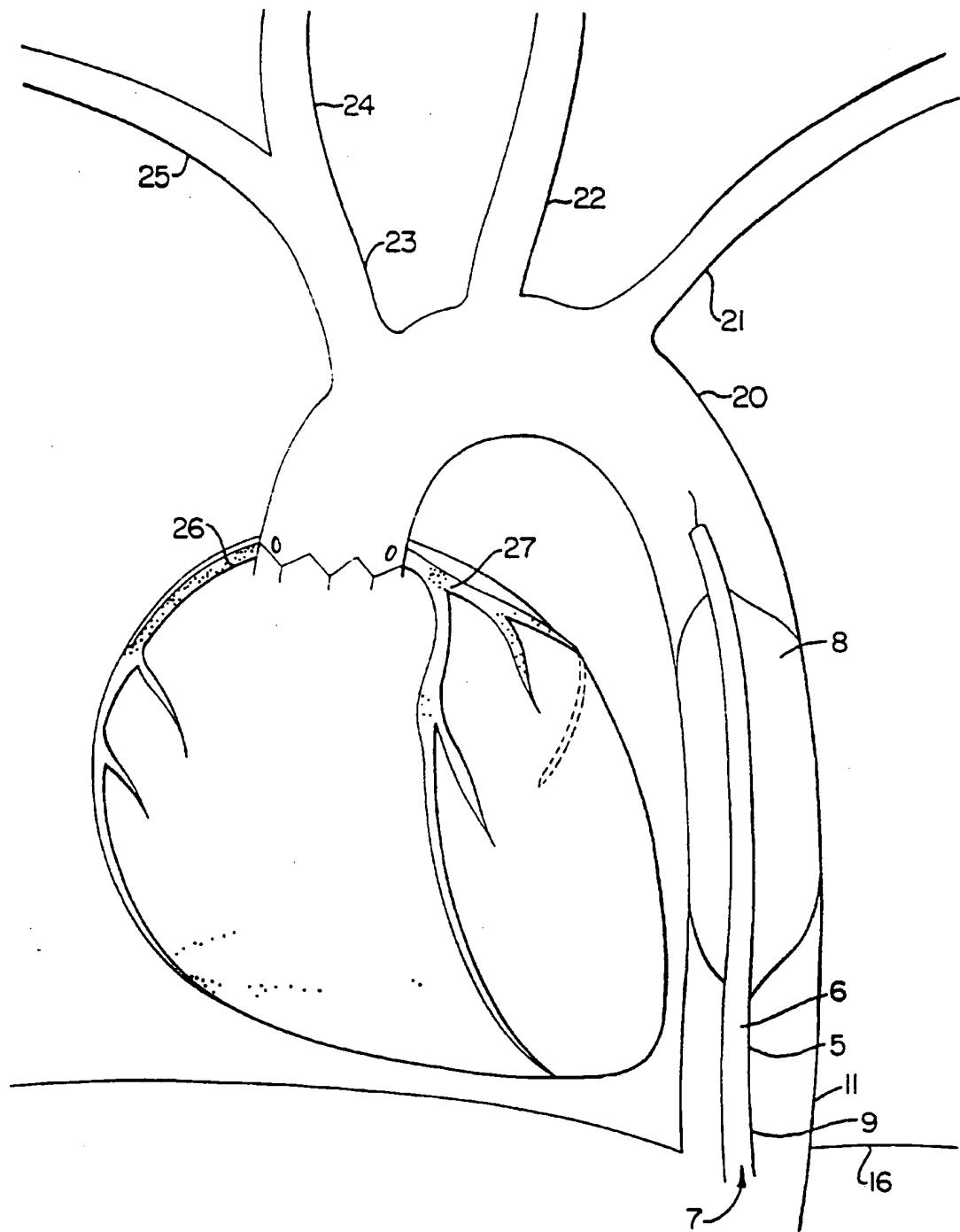


FIG. 2.

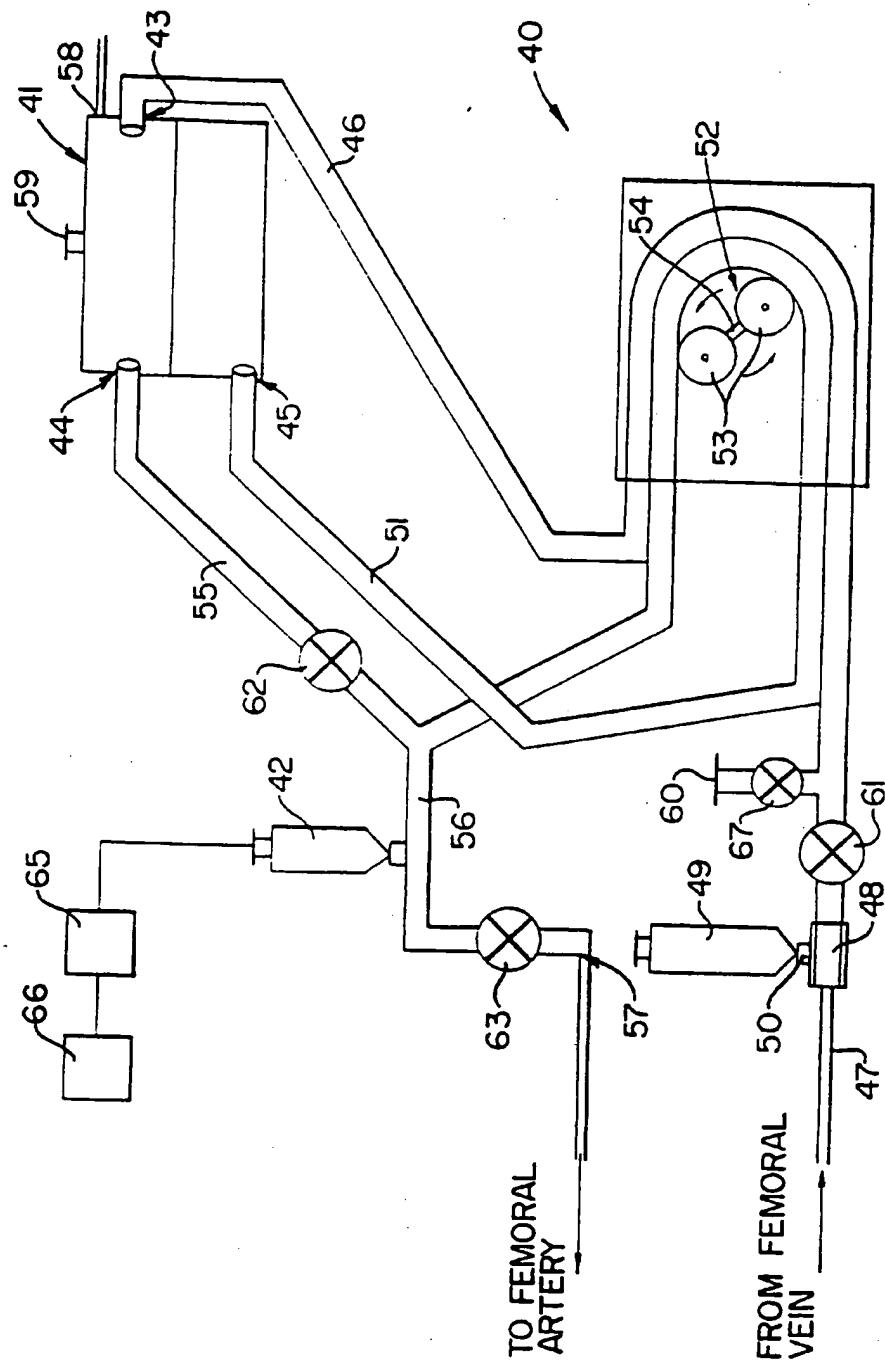
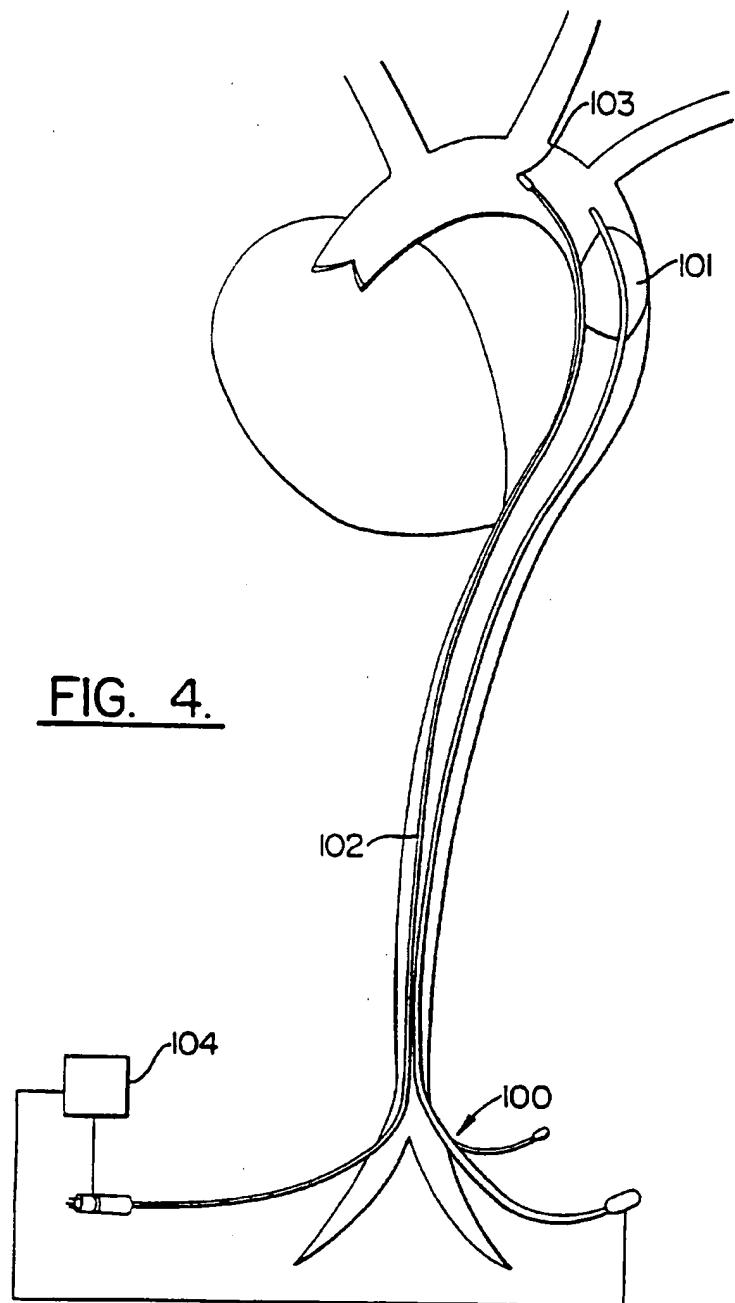


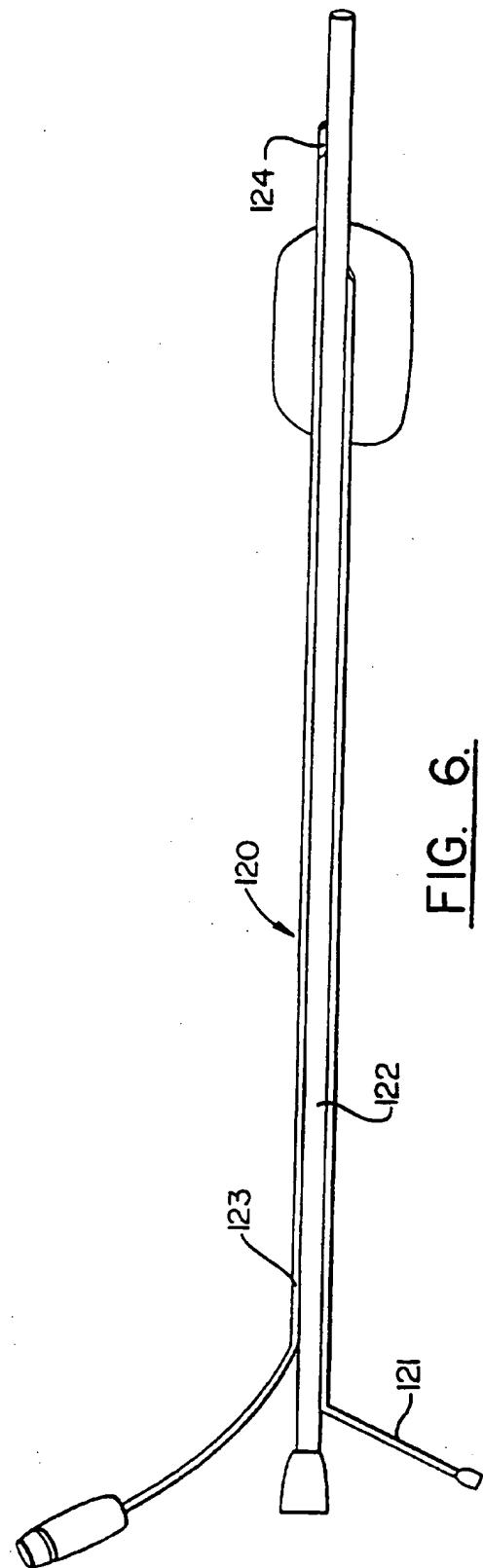
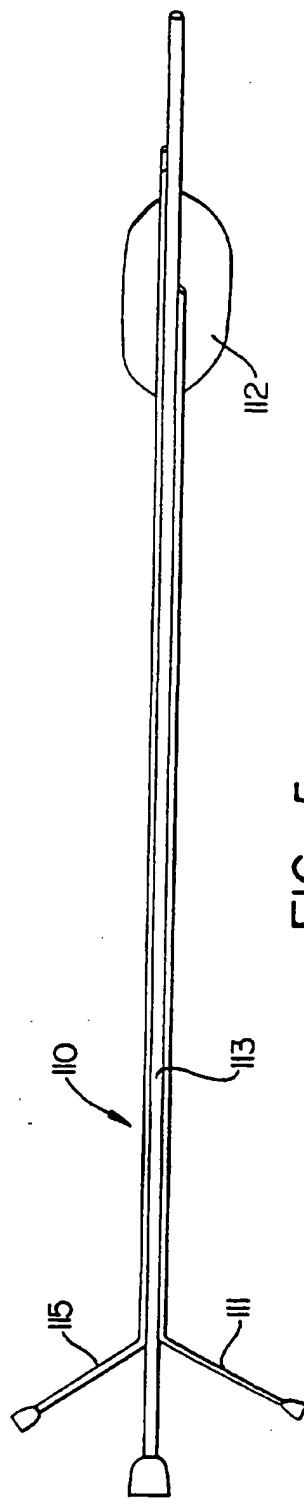
FIG. 3.

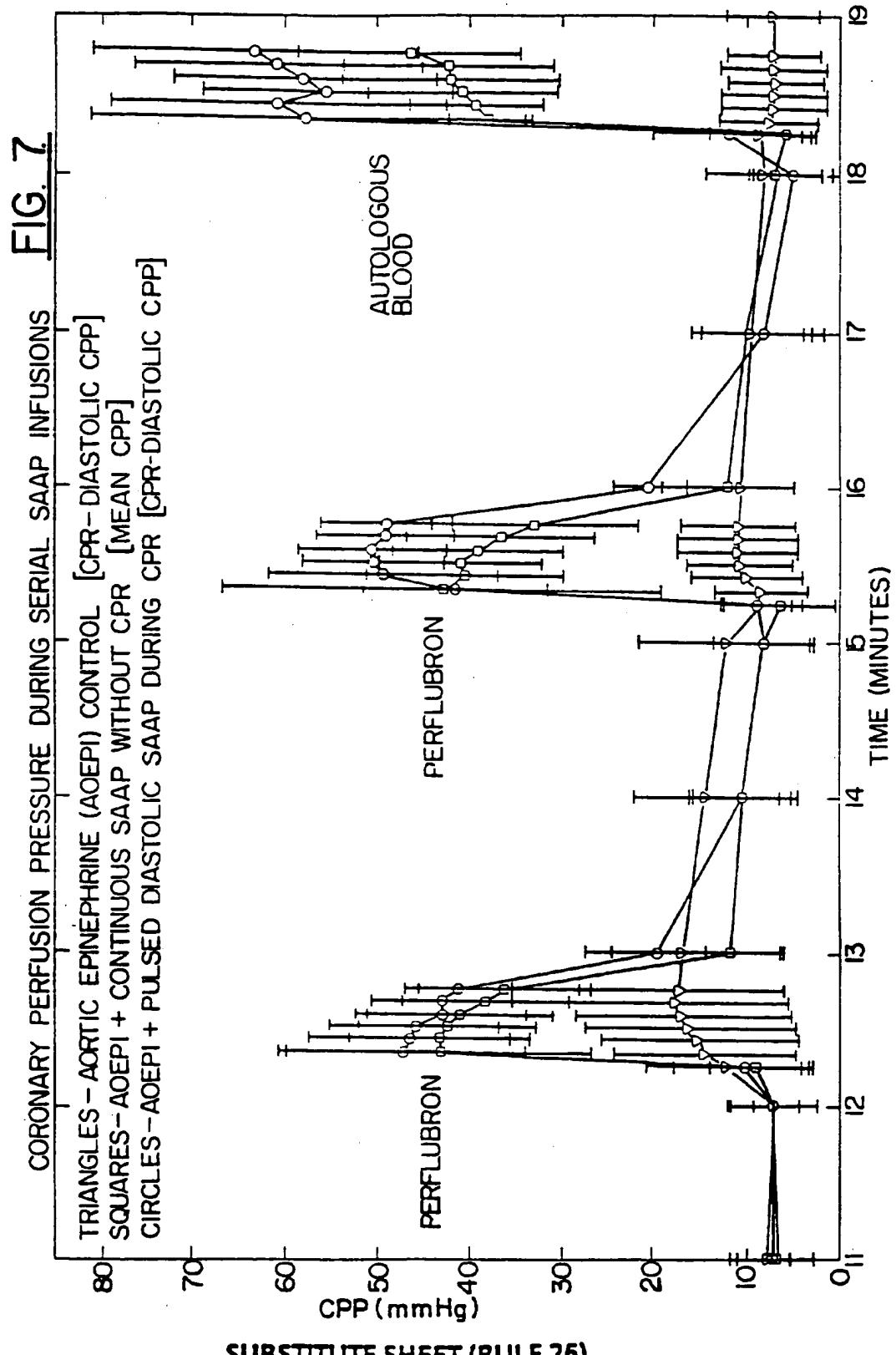
SUBSTITUTE SHEET (RULE 26)



SUBSTITUTE SHEET (RULE 26)

5/6





## INTERNATIONAL SEARCH REPORT

International application No.  
PCT/US96/05060

## A. CLASSIFICATION OF SUBJECT MATTER

IPC(6) : A61N 1/362  
US CL : 600/016

According to International Patent Classification (IPC) or to both national classification and IPC

## B. FIELDS SEARCHED

Minimum documentation searched (classification system followed by classification symbols)

U.S. : 128/897-898;600/016-018;604/023.028.049.051.053.096-097;606/194;514/716.718.728.772.832;206/363-364.438;  
261 DIG 28

Documentation searched other than minimum documentation to the extent that such documents are included in the fields searched

Electronic data base consulted during the international search (name of data base and, where practicable, search terms used)

## C. DOCUMENTS CONSIDERED TO BE RELEVANT

Category*	Citation of document, with indication, where appropriate, of the relevant passages	Relevant to claim No.
Y	US, A, 5,216,032 (MANNING) 01 June 1993, see entire document.	1-24
Y	US, A, 4,804,358 (KARCHER ET AL) 14 February 1989, see entire document.	1-24
Y	US, A, 5,334,142 (PARADIS) 02 August 1994, see entire document.	1-24

Further documents are listed in the continuation of Box C.  See patent family annex.

Special categories of cited documents:	
"A"	document defining the general state of the art which is not considered to be part of particular relevance
"E"	earlier document published on or after the international filing date
"L"	document which may throw doubts on priority claim(s) or which is cited to establish the publication date of another citation or other special reasons (as specified)
"O"	document referring to an oral disclosure, use, exhibition or other means
"P"	document published prior to the international filing date but later than the priority date claimed
"T"	later document published after the international filing date or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention
"X"	document of particular relevance; the claimed invention cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone
"Y"	document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such documents, such combination being obvious to a person skilled in the art
"&"	document member of the same patent family

Date of the actual completion of the international search	Date of mailing of the international search report
27 MAY 1996	21 JUN 1996
Name and mailing address of the ISA/US Commissioner of Patents and Trademarks Box PCT Washington, D.C. 20231	Authorized officer JOHN LACYK <i>B. Hardy</i>
Faxsimile No. (703) 305-3230	Telephone No. (703) 306-0858

Form PCT/ISA/210 (second sheet)(July 1992)\*